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# Dimensional Funds plc and Dimensional Funds II plc Application Form

Please fill in this form in **BLOCK CAPITALS**, making sure that all parties sign section 12, and return it to:

Dimensional Funds plc and Dimensional Funds II plc, c/o State Street Fund Services (Ireland) Limited, Transfer Agency Department, 78 Sir John Rogerson's Quay, Dublin 2, Ireland. Tel: + 353 1 242 5536. Fax: + 353 1 438 9544. Telephone calls may be recorded. Applications can also be sent via email to [DFARegistration@statestreet.com](mailto:DFARegistration@statestreet.com)

By checking this box, I confirm that both the entity signing this application and underlying beneficiaries are **NOT** Russian nationals or natural persons residing in Russia or any legal person, entity or body established in Russia **OR** a Belarusian nationals or natural persons residing in Belarus or any legal person, entity or body established in Belarus. This information is being requested from you as part of Dimensional Funds plc and Dimensional Funds II plc's compliance with Regulation (EU) No 833/2014 and Regulation (EC) No 765/2006, as such may be amended from time to time.

## IMPORTANT NOTES

- If you fax or email the form, you must also send the original form.
- Dimensional Funds plc and Dimensional Funds II plc (the "Companies") may be obliged to deduct tax until it has received the original signed declaration of residence outside Ireland.
- If the required AML documentation is not received, redemption proceeds/dividends may not be paid out.
- Applications for Shares may be accepted electronically in certain circumstances where specifically agreed to in advance by the Companies.
- KIID/PRIIPs KID: Declaration for Key Investor Information Document (KIID) and Packaged Retail and Insurance-based Investment Products Key Information Document (PRIIPs KID) is contained in the Application Form.
- G1, G2 Guidance Notes (the "Guidance Notes") and the Annex form part of this Application Form and shall have effect as if set out in the Application Form. Please ensure to complete the appropriate individual or entity self-certification at the back of this application form.
- The Investment Manager reserves the right, in its sole discretion, to close without notice, any account that has been at zero balance for a period of 12 months or longer from the point of the last transaction in the account.
- Please note the Dimensional Funds II plc settlement bank details differ from the Dimensional Funds plc bank details. Please see section 5B for more details.
- Once your account is open you will receive an email notification from State Street (Ireland) Limited, the Transfer Agent. This should take approximately three business days after all documentation is received in good order and will contain your State Street account number and account name. You can then submit your initial deal using these details.

## 1. APPLICANT DETAILS

Private investors should provide their details here and details of their financial adviser (if applicable) in section 3. Shares may be registered in a single name or joint names. "Care of" and PO Boxes will not be accepted.

Non-personal entities (such as institutions, corporations, and trusts) should provide their contact details here and their registration details in section 3. "Care of" and PO Boxes will not be accepted.

### PERSONAL DETAILS

\_\_\_\_\_  
FORENAME(S)

\_\_\_\_\_  
SURNAME

\_\_\_\_\_  
TITLE

\_\_\_\_\_  
DATE OF BIRTH

\_\_\_\_\_  
ADDRESS

\_\_\_\_\_  
COUNTRY

\_\_\_\_\_  
POSTCODE

If acting in a trustee capacity, please tick here

\_\_\_\_\_  
TEL

\_\_\_\_\_  
FAX

### JOINT HOLDER 3 DETAILS

\_\_\_\_\_  
FORENAME(S)

\_\_\_\_\_  
SURNAME

\_\_\_\_\_  
TITLE

\_\_\_\_\_  
DATE OF BIRTH

\_\_\_\_\_  
ADDRESS

\_\_\_\_\_  
COUNTRY

\_\_\_\_\_  
POSTCODE

If acting in a trustee capacity, please tick here

\_\_\_\_\_  
TEL

\_\_\_\_\_  
FAX

### JOINT HOLDER 2 DETAILS

\_\_\_\_\_  
FORENAME(S)

\_\_\_\_\_  
SURNAME

\_\_\_\_\_  
TITLE

\_\_\_\_\_  
DATE OF BIRTH

\_\_\_\_\_  
ADDRESS

\_\_\_\_\_  
COUNTRY

\_\_\_\_\_  
POSTCODE

If acting in a trustee capacity, please tick here

\_\_\_\_\_  
TEL

\_\_\_\_\_  
FAX

### JOINT HOLDER 4 DETAILS

\_\_\_\_\_  
FORENAME(S)

\_\_\_\_\_  
SURNAME

\_\_\_\_\_  
TITLE

\_\_\_\_\_  
DATE OF BIRTH

\_\_\_\_\_  
ADDRESS

\_\_\_\_\_  
COUNTRY

\_\_\_\_\_  
POSTCODE

If acting in a trustee capacity, please tick here

\_\_\_\_\_  
TEL

\_\_\_\_\_  
FAX

## 1. APPLICANT DETAILS (CONTINUED)

### DETAILS OF EXPECTED INVESTMENT

Level of expected total investment (tick appropriate box):

- Ranging between a currency equivalent to EUR 0 to EUR 15K
- Ranging between a currency equivalent to EUR 15K to EUR 100K
- Ranging between a currency equivalent to EUR 100K to EUR 1M
- Ranging between a currency equivalent to EUR 1M to EUR 100M
- Greater than a currency equivalent to EUR 100M

Detail of investment (tick appropriate box):

- Investing on my/our own behalf (please tick the box if applicable)
- Investing on behalf of a Third Party Disclosed in the Investor Name
- Investing on behalf of a Third Party Not Disclosed in the Investor Name
- Investing on behalf of several non-disclosed Third Parties (omnibus/pooled accounts)

### SOURCE OF FUNDS FOR INITIAL INVESTMENT (PLEASE TICK MULTIPLE BOXES IF APPROPRIATE):

- |   |                                  |   |
|---|----------------------------------|---|
| <input type="checkbox"/> Inheritance                      | <input type="checkbox"/> Gift    | <input type="checkbox"/> Sale of Business       |
| <input type="checkbox"/> Salary                           | <input type="checkbox"/> Pension | <input type="checkbox"/> Investment Income      |
| <input type="checkbox"/> Sale of assets (please specify): | <input type="checkbox"/> Lottery | <input type="checkbox"/> Other (please specify) |

Further supporting documentation may be requested if deemed necessary to verify the above information.

### SOURCE OF WEALTH, I.E., AGGREGATION OF ACCUMULATED WEALTH (PLEASE TICK MULTIPLE BOXES IF APPROPRIATE):

- |   |                                  |   |
|---|----------------------------------|---|
| <input type="checkbox"/> Inheritance                      | <input type="checkbox"/> Gift    | <input type="checkbox"/> Sale of Business       |
| <input type="checkbox"/> Salary                           | <input type="checkbox"/> Pension | <input type="checkbox"/> Investment Income      |
| <input type="checkbox"/> Sale of assets (please specify): | <input type="checkbox"/> Lottery | <input type="checkbox"/> Other (please specify) |

Further supporting documentation may be requested if deemed necessary to verify the above information.

**WHERE THE SHAREHOLDER IS A CREDIT OR FINANCIAL INSTITUTION TRANSACTING AS INTERMEDIARY OR NOMINEE, PLEASE SELECT IF THE SHAREHOLDER WILL BE INVESTING ON A DISCRETIONARY OR NON-DISCRETIONARY BASIS.**

- Discretionary
- Non-Discretionary

## 2. EMAIL ADDRESS / COMPANY DOCUMENTATION

### EMAIL ADDRESS(ES) FOR COMPANY DOCUMENTATION

Please provide an email address for the provision of contracts notes, statements and other such communications relevant to your account and investments in the Funds. From time to time Dimensional Ireland Limited, the Investment Manager, may send you information on other products and services which may be of interest to you. If you wish to receive information on other products or services, please tick this box.

### 3A. i REGISTRATION DETAILS FOR NON-PERSONAL ENTITIES ONLY ("CARE OF" AND PO BOXES WILL NOT BE ACCEPTED)

REGISTERED NAME

DESIGNATION

POSTAL ADDRESS (IF DIFFERENT)

REGISTERED ADDRESS (MANDATORY)

COUNTRY

POSTCODE

COUNTRY

POSTCODE

TEL

FAX

TEL

FAX

### 3A. ii DETAILS OF PROFESSIONAL FINANCIAL ADVISER - OBLIGATORY FOR PRIVATE INVESTORS ONLY

Details of your Financial Adviser:

COMPANY NAME AND ADDRESS

ADVISER'S NAME

ADVISER'S FINANCIAL CONDUCT AUTHORITY OR OTHER REGISTRATION NUMBER

REGULATED BY

TELEPHONE

FAX

EMAIL

\* Delete as appropriate.

#### 4. ELECTRONIC TRADING INFORMATION

If orders will be placed using SWIFT (or an intermediary that connects to State Street via SWIFT), please provide the SWIFT identifier from which orders will be sent for the relevant account.

All orders placed using SWIFT are subject to the BIC validation process and subsequent processing will be either delayed or rejected if the identifiers below are incorrect or not provided.

\_\_\_\_\_  
BUSINESS IDENTIFIER CODE (BIC)

\_\_\_\_\_  
DISTINGUISHED NAME (DN) FOR ISO 20022 MESSAGES

Dimensional Irish Funds accept Swift transfers (MX ISO20022 message) following UK market practise and TeX standard. For placing Swift Transfers orders, please provide additional identifiers:

\_\_\_\_\_  
BUSINESS IDENTIFIER CODE (BIC)

\_\_\_\_\_  
ACCOUNT OWNER IDENTIFYING BIC CODE

\_\_\_\_\_  
DISTINGUISHED NAME (DN)

\_\_\_\_\_  
SOLUTION PROVIDER NAME (E.G. EQUISOFT)

#### 5A. i YOUR PAYMENT DETAILS

##### FOR GBP SUBSCRIPTIONS AND REDEMPTIONS

##### FOR EUR SUBSCRIPTIONS AND REDEMPTIONS

\_\_\_\_\_  
INTERMEDIARY BANK NAME\*

\_\_\_\_\_  
INTERMEDIARY BANK NAME\*

\_\_\_\_\_  
SWIFT\*

\_\_\_\_\_  
SWIFT\*

\_\_\_\_\_  
SORT CODE/ABA NUMBER\*

\_\_\_\_\_  
SORT CODE/ABA NUMBER\*

\_\_\_\_\_  
BENEFICIARY BANK NAME

\_\_\_\_\_  
BENEFICIARY BANK NAME

\_\_\_\_\_  
BANK ADDRESS

\_\_\_\_\_  
BANK ADDRESS

\_\_\_\_\_  
SWIFT

\_\_\_\_\_  
SWIFT

\_\_\_\_\_  
SORT CODE/ABA NUMBER

\_\_\_\_\_  
SORT CODE/ABA NUMBER

\_\_\_\_\_  
ACCOUNT NAME

\_\_\_\_\_  
ACCOUNT NAME

\_\_\_\_\_  
ACCOUNT NUMBER

\_\_\_\_\_  
ACCOUNT NUMBER

\_\_\_\_\_  
IBAN

\_\_\_\_\_  
IBAN

\_\_\_\_\_  
FOR FURTHER CREDIT

\_\_\_\_\_  
FOR FURTHER CREDIT

\_\_\_\_\_  
\* If applicable.

## 5A. i YOUR PAYMENT DETAILS (CONTINUED)

### FOR USD SUBSCRIPTIONS AND REDEMPTIONS

INTERMEDIARY BANK NAME\*

SWIFT\*

SORT CODE/ABA NUMBER\*

BENEFICIARY BANK NAME

BANK ADDRESS

SWIFT

SORT CODE/ABA NUMBER

ACCOUNT NAME

ACCOUNT NUMBER

IBAN

FOR FURTHER CREDIT

### FOR NOK SUBSCRIPTIONS AND REDEMPTIONS

INTERMEDIARY BANK NAME\*

SWIFT\*

SORT CODE/ABA NUMBER\*

BENEFICIARY BANK NAME

BANK ADDRESS

SWIFT

SORT CODE/ABA NUMBER

ACCOUNT NAME

ACCOUNT NUMBER

IBAN

FOR FURTHER CREDIT

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\* If applicable.

**5A. i YOUR PAYMENT DETAILS (CONTINUED)**

**FOR CHF SUBSCRIPTIONS AND REDEMPTIONS**

**FOR SEK SUBSCRIPTIONS AND REDEMPTIONS**

INTERMEDIARY BANK NAME\*

INTERMEDIARY BANK NAME\*

SWIFT\*

SWIFT\*

SORT CODE/ABA NUMBER\*

SORT CODE/ABA NUMBER\*

BENEFICIARY BANK NAME

BENEFICIARY BANK NAME

BANK ADDRESS

BANK ADDRESS

SWIFT

SWIFT

SORT CODE/ABA NUMBER

SORT CODE/ABA NUMBER

ACCOUNT NAME

ACCOUNT NAME

ACCOUNT NUMBER

ACCOUNT NUMBER

IBAN

IBAN

FOR FURTHER CREDIT

FOR FURTHER CREDIT

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\* If applicable.

### 5A. i YOUR PAYMENT DETAILS (CONTINUED)

#### FOR JPY SUBSCRIPTIONS AND REDEMPTIONS

INTERMEDIARY BANK NAME\*

SWIFT\*

SORT CODE/ABA NUMBER\*

BENEFICIARY BANK NAME

BANK ADDRESS

SWIFT

SORT CODE/ABA NUMBER

ACCOUNT NAME

ACCOUNT NUMBER

IBAN

FOR FURTHER CREDIT

#### FOR SGD SUBSCRIPTIONS AND REDEMPTIONS

INTERMEDIARY BANK NAME\*

SWIFT\*

SORT CODE/ABA NUMBER\*

BENEFICIARY BANK NAME

BANK ADDRESS

SWIFT

SORT CODE/ABA NUMBER

ACCOUNT NAME

ACCOUNT NUMBER

IBAN

FOR FURTHER CREDIT

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\* If applicable.

## 5A. i YOUR PAYMENT DETAILS (CONTINUED)

### FOR AUD SUBSCRIPTIONS AND REDEMPTIONS

\_\_\_\_\_  
INTERMEDIARY BANK NAME\*

\_\_\_\_\_  
SWIFT\*

\_\_\_\_\_  
SORT CODE/ABA NUMBER

\_\_\_\_\_  
**BENEFICIARY BANK NAME**

\_\_\_\_\_  
BANK ADDRESS

\_\_\_\_\_

\_\_\_\_\_  
SWIFT

\_\_\_\_\_

\_\_\_\_\_  
ACCOUNT NAME

\_\_\_\_\_  
ACCOUNT NUMBER

\_\_\_\_\_  
IBAN

\_\_\_\_\_  
FOR FURTHER CREDIT

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\* If applicable.

**5A. ii YOUR PAYMENT DETAILS**

Remitting bank details for subscriptions (if different from those listed in Section 5A. i).

Please tick this box if the remitting bank details are the same as the redemption bank details. If different please complete below\*:

REMITTING BANK NAME

BIC

REMITTING BANK ADDRESS

ACCOUNT NUMBER

IBAN

COUNTRY

POSTCODE

ANK ACCOUNT CURRENCY

ACCOUNT HOLDER NAME

Please note, cleared funds must be received by close of business on the third Business Day after the deal has been placed. Payment should be made by bank transfer net of all bank charges (i.e., at investor expense). For international wire transfers, the transfer should be made using the 'OUR' instruction in the SWIFT message instruction at field 71A "Details of Charges". The 'OUR' instruction means that the full investment amount is transferred to the fund and in addition you may incur associated wire transfer charges which are payable to your bank.

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\* If you have more than one remitting bank, please provide details on a separate sheet.

## 5B. i FUND PAYMENT DETAILS

**Dimensional Funds PLC settlement bank details.** Once the account has opened and you have placed an initial deal, please use the relevant currency bank account details to settle purchases in the Funds. Please note settlement must be received in the currency of the share class. Cleared funds must be received by close of business on the third Business Day after the deal has been placed.

### GBP

Beneficiary Bank	Bank of America N.A. London
SWIFT Code	BOFAGB22
Sort Code for Domestic Payments	301635
IBAN	GB30 BOFA 1650 5056 2310 13
Beneficiary Account Name	DIMENSIONAL FUNDS PLC
Beneficiary Account Number for Domestic Payments	56231013
Reference	Name of Fund - Applicant

### USD

Beneficiary Bank	Bank of America N.A. New York
SWIFT Code	BOFAUS3N
ABA Code	026009593
Beneficiary Account Name	DIMENSIONAL FUNDS PLC
Beneficiary Account Number	6550362964
Reference	Name of Fund - Applicant

### EUR

Beneficiary Bank	Bank of America N.A. Frankfurt
SWIFT Code	BOFADEFX
Sort Code for Domestic Payments	50010900
IBAN	DE03 5001 0900 0019 9620 16
Beneficiary Account Name	DIMENSIONAL FUNDS PLC
Beneficiary Account Number for Domestic Payments	19962016
Reference	Name of Fund - Applicant

### CHF

Beneficiary Bank	Bank of America Merrill Lynch International DAC, Zurich
SWIFT Code	BOFACH2X
Clearing Code	8726
IBAN	CH86 0872 6000 0309 8401 0
Beneficiary Account Name	DIMENSIONAL FUNDS PLC
Beneficiary Account Number for Domestic Payments	30984010
Reference	Name of Fund - Applicant

### NOK

Beneficiary Bank	Skandinaviska Enskilda Banken Norway
SWIFT Code	ESSENOKX
IBAN	NO079 7501 1033 69
Beneficiary Account Name	BofA re: DIMENSIONAL FUNDS PLC
Beneficiary Account Number for Domestic Payments	97501103369
Reference	Name of Fund - Applicant

### SEK

Beneficiary Bank	Skandinaviska Enskilda Banken Stockholm
SWIFT Code	ESSESESS
IBAN	SE10 5000 0000 0581 5101 3923
Beneficiary Account Name	BofA re: DIMENSIONAL FUNDS PLC
Beneficiary Account Number for Domestic Payments	58151012923
Reference	Name of Fund - Applicant

## 5B. i FUND PAYMENT DETAILS (CONTINUED)

### JPY

Beneficiary Bank	Bank of America N.A. Tokyo
SWIFT Code	BOFAJPJX
Beneficiary Account Name	DIMENSIONAL FUNDS PLC
Beneficiary Account	22851011
Reference	Name of Fund - Applicant

### SGD

Beneficiary Bank	Bank of America N.A. Singapore
SWIFT Code	BOFASG2XXXX
Bank/branch Code for Domestic Payments	7065212
Beneficiary Account Name	DIMENSIONAL FUNDS PLC
Beneficiary Account	71017010
Reference	Name of Fund - Applicant

### AUD

Beneficiary Bank	Bank of America N.A. Australian Branch
SWIFT Code	BOFAAUSX
BSB	232001
Beneficiary Account Name	DIMENSIONAL FUNDS PLC
Beneficiary Account	520119103016
Reference	Name of Fund - Applicant

## 5B. ii FUND PAYMENT DETAILS

**Dimensional Funds II PLC settlement bank details.** Once the account has opened and you have placed an initial deal, please use the relevant currency bank account details to settle purchases in the Funds. Please note settlement must be received in the currency of the share class. Cleared funds must be received by close of business on the third Business Day after the deal has been placed.

### GBP

Beneficiary Bank	Bank of America N.A. London
SWIFT Code	BOFAGB22
Sort Code for Domestic Payments	301635
IBAN	GB91 BOFA 1650 5056 2330 19
Beneficiary Account Name	DIMENSIONAL FUNDS II PLC
Beneficiary Account Number for Domestic Payments	56233019
Reference	Name of Fund - Applicant

### USD

Beneficiary Bank	Bank of America N.A. New York
SWIFT Code	BOFAUS3N
ABA Code	026009593
Beneficiary Account Name	DIMENSIONAL FUNDS II PLC
Beneficiary Account Number	6550562963
Reference	Name of Fund - Applicant

### EUR

Beneficiary Bank	Bank of America N.A. Frankfurt
SWIFT Code	BOFADEFX
Sort Code for Domestic Payments	50010900
IBAN	DE23 5001 0900 0019 9630 14
Beneficiary Account Name	DIMENSIONAL FUNDS II PLC
Beneficiary Account Number for Domestic Payments	19963014
Reference	Name of Fund - Applicant

### SEK

Beneficiary Bank	Skandinaviska Enskilda Banken Stockholm
SWIFT Code	ESSESESS
IBAN	SE24 5000 0000 0581 5110 3299
Beneficiary Account Name	BofA re: DIMENSIONAL FUNDS II PLC
Beneficiary Account Number for Domestic Payments	58151103299
Reference	Name of Fund - Applicant

## 6. DIVIDEND PAYMENTS

Please complete this section only if you are investing in Distributing Shares.

### Reinvest Option

Automatic purchase of additional Shares of the same class equivalent to dividends.

### Cash Option

Pay all dividends to the bank account listed in section 5A.i, or the details listed below (only complete if different to 5A.i).

Payments will NOT be made to third party accounts. Should this section not be completed, dividends will be automatically paid out to the bank details supplied in Section 5.

BANK NAME	SORT CODE/ABA NUMBER
BANK ADDRESS	IBAN
ACCOUNT NAME	SWIFT CODE
ACCOUNT NUMBER	ANY OTHER REFERENCE

## 7. ANTI-MONEY LAUNDERING REQUIREMENTS

Due to AML and Counter-Terrorist Financing requirements operating in various jurisdictions, all investors (both individual applicants and corporate entities) are required to be identified. Please refer to **G2 Document Requirements** in order to review the documentation you are required to supply.

## 8. DECLARATIONS

(PLEASE READ THE FOLLOWING DECLARATIONS AND IF YOU AGREE WITH THEM, AND IF APPLICABLE, THE PROVISIONS OF THE ANNEX FOR U.S. INVESTORS, SIGN AND DATE THE FORM IN SECTION 12).

- Guidance Notes: I/we\* confirm that I/we\* have read the Guidance Notes and agree to adhere and be subject to its terms.
- Prospectus and KIDs/PRIIPs KIDs: I/we\* confirm that I/we\* have read and considered the Prospectus and the most recent annual and/or halfyearly report of the Company (if any) and kept a hard copy for my/our\*records. I/we\* hereby confirm that the application is made upon and subject to the terms of such documentation, the Memorandum and Articles of Association and this Application Form. I/we\* agree that we have received in good time prior to the application for any proposed subscription of Shares any KIID(s)/PRIIPs KID(s) in issue for the relevant Share(s). I/we\* acknowledge that the latest version of the Prospectus and KIID(s) /PRIIPs KID(s) will be provided by way of Electronic Delivery and that a paper copy will be provided free of charge on request from the Administrator. I/we\* acknowledge that updated versions of the Prospectus and KIIDs/PRIIPs KIDs will be made available on the website [www.dimensional.com](http://www.dimensional.com) or such other website as may be notified to me/us from time to time.
- KIID(s) /PRIIPs KID(s) - subsequent subscriptions: I/we\* hereby confirm that for any subsequent investments I/we\* will obtain and read the latest version of the appropriate KIID/PRIIPs KIDs prior to each subscription. I/we\* hereby acknowledge that for any subsequent subscription the KIID/PRIIPs KIDs is available on [www.dimensional.com](http://www.dimensional.com), and I/we\* consent to its receipt in that form for subsequent subscription applications for Share(s) and understand that a copy of the KIID/PRIIPs KIDs is available in paper form, free of charge, upon request from the Administrator.
- Email: By providing an email address in this Application Form, any document to be sent by email will be sent to the email address set out in this Application Form unless otherwise notified to and agreed with the Companies, the Manager or Administrator from time to time. I/We\* understand that it is my/our\* obligation to notify the Companies, the Manager and/or Administrator in writing if the contact email address set out herein changes. I/We\* understand that I/we\* may revoke or restrict my/our\* consent to Electronic Delivery of documentation and information by notifying the Companies, the Manager and/or Administrator in writing.
- Electronic Delivery: I/we\* acknowledge that electronic transmissions are not encrypted and therefore are insecure and that communication may be lost or changed or altered without the consent of the author. I/We\* acknowledge that there are other risks inherent in communicating by Electronic Delivery, such as disruptions in service. The Companies and the Administrator shall be held harmless in complying with a request to correspond by Electronic Delivery as aforesaid and I/we\* hereby agree to indemnify each of the Companies and the Administrator and agree to keep each of them indemnified for any loss, expense, damage, liability, or claim (including attorney's fees) incurred or sustained by me/us or any person claiming through me/us as a result of such Electronic Delivery.
- Facsimile Instructions: I/we\* agree that the Administrator is hereby authorised and instructed to accept and execute any instructions in respect of the Shares to which this application relates given by me/us\* in written form or by facsimile. If instructions are given by me/us by facsimile I/we\* undertake to confirm them in writing. I/We\* hereby agree to indemnify each of the Administrator and the Companies and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon facsimile instructions whether or not subsequently confirmed in writing by me/us\*. The Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction, or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.

\* Delete as appropriate

## 8. DECLARATIONS (CONTINUED)

- Capacity: I/we\* confirm that I am/we are\* 18 years of age or over and I/we\* have the authority to make the investment pursuant to this Application Form whether this investment is in my/our\* own name or is made on behalf of another person or institution.
- U.S. Persons: I/we\* confirm that I am not/we are not\* a U.S. Person, as defined in the Prospectus, and that I/we\* do not currently and will not hold Shares for the account of a U.S. Person. If you are unable to make this confirmation, please complete the Annex for U.S. Investors at the back of this Application Form. Applicants who are U.S. Taxpayers (as defined in the Prospectus) should also complete the Annex. Please tick the box to confirm that the Annex for U.S. Investors has been completed.
- I/We\* acknowledge that I/we\* have read the fund range specific Data Protection Notice located at <https://www.dimensional.com/gb-en/privacy-policies>.
- Collection and exchange of information under the OECD Common Reporting Standard: I/we\* understand that for the purposes of complying with its automatic exchange of information obligations under the OECD Common Reporting Standard (CRS) as implemented in Irish law the Companies are required to collect certain information in respect of each investor, and in respect of certain "Controlling Persons" in the case of the investor being an Entity rather than an individual, (e.g. name, address, jurisdiction of residence, tax identification number (TIN), date and place of birth (as appropriate), the "account number" and the "account balance" or value at the end of each calendar year) so as to identify "accounts" which are reportable to the Irish Revenue Commissioners under the CRS. I/We\* understand that such information may in turn be exchanged by the Irish Revenue Commissioners with the tax authorities of other jurisdictions in accordance with the requirements of the CRS. Further information in relation to CRS can be found on the Automatic Exchange of Information (AEOI) webpage on [www.revenue.ie](http://www.revenue.ie).
- AML: I/we\* understand that the Companies, the Manager, Administrator, or Investment Manager may require documentary evidence of my/our\* identity, permanent address and source of funds and any beneficial owner and may also use credit reference/information agencies in order to satisfy applicable AML legislation and regulations. I/We\* also understand that if the Companies, the Manager, Administrator, or Investment Manager does not receive satisfactory verification, it reserves the right to delay or reject an application or cancel an investment if already made. I/We\* hereby agree to indemnify the Companies, the Manager, Administrator and the Investment Manager and agree to keep each of them indemnified against any loss arising as a result of a failure to process the application if such information as has been required by the parties referred to has not been provided by me/us\*.
- I/We\* understand that due to the exceptional circumstances as a result of the COVID-19 crisis and related restrictions, temporary measures have been introduced to facilitate dealing in the sub-funds. I/We\* further understand that until such time as notified otherwise, digital, or electronic copies of documents to open accounts, subscribe, redeem, transfer (where there is no change of beneficial owner), switch or update documentation in connection with anti-money laundering/combating the financing of terrorism exercises, including trigger events and periodic reviews, will be accepted by the Companies and the Administrator instead of original documents. I/We\* acknowledge that these temporary measures do not apply to any changes to any existing shareholder's registration details such as bank details or registered or mailing addresses, for which original documents are still required to be sent to the Administrator at this time.
- Subscriptions: I/we\* irrevocably agree that where I/we\* make any subscriptions for Shares (whether in the same Fund as our initial subscription or in a different Fund) the confirmations, representations, declarations and warranties made in this Application Form are continuous and apply to all subsequent subscriptions for Shares in any and all sub-funds of the Companies unless I/we\* provide a separate completed and signed Application Form for a particular subscription.
- I/We\* agree to notify the Companies, the Manager or the Administrator immediately in writing if I/we\* become aware that any of the representations, warranties or other information given by me/us\* in this Application Form are no longer accurate and complete in all respects and agree immediately to take such action as the Companies or the Manager may direct, including where appropriate, the redemption of my/our\* holding in its entirety.
- I/We\* confirm that I/we\* have the legal capacity and authority to make a subscription. I/We\* hereby represent and declare that I am/we are\* fully informed as to: (i) the legal requirements within my/our\* country of residence for the purchase of the Shares and am/are\* permitted to purchase the Shares under the laws and regulations of my/our\* home country in the manner in which the Shares have been offered and sold to me/us\*; (ii) any foreign exchange restrictions applicable to me/us\*; and (iii) any relevant tax considerations relating to me/us\* arising out of my/our\* purchase and ownership of Shares.
- If I am/we are\* acting as trustee, agent, representative or nominee for a subscriber (a "Beneficial Owner"), I/we\* understand and acknowledge that the representations, warranties, and agreements made herein are made by me/us\*: (i) with respect to myself/ourselves\*; and (ii) with respect to the Beneficial Owner. I/We\* represent and warrant that I/we\* have all requisite power and authority from said Beneficial Owner to execute and perform the obligations under this Application Form. I/We\* also agree to indemnify and hold harmless the Companies, the Manager, Investment Manager, and the Shareholders of the Companies for any and all costs, fees and expenses (including legal fees and disbursements) in connection with any damages resulting from my/our\* lack of proper authorisation from the Beneficial Owner to enter into this Application Form or perform the obligations hereof.
- I/We\* hereby agree to indemnify and hold harmless the Companies, the Manager, the Custodian, the Administrator, the Investment Manager and the Distributor and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result, directly or indirectly, from (i) any misrepresentation or breach by me/us\* of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us\* to the Companies and (ii) my/our\* holding of Shares which brings the Companies into conflict with any requirement of any local regulatory authority or the Companies incurring any liability to taxation or breaching any law or regulation of any local regulatory or taxation authority.
- I/We\* acknowledge that once the account is opened any deals received in good order will be processed in line with the terms of the prospectus and the constitutional document of the Companies.
- I/We\* understand that the tax disclosure set forth in the Prospectus is of a general nature and may not cover the jurisdiction in which I am/we are\* subject to taxation and that the tax consequences of my/our\* purchase of Shares depend on my/our\* individual circumstances and I/we\* will consult my/our\* tax advisor in this regard.
- UK Taxpayers only: I/We\* understand the tax implications of HMRC's offshore reporting fund regime when investing into a non-UK fund, such as the Companies. I/We\* understand that if I/we\* choose to invest in a class approved by HMRC as a reporting fund I/we\* may be liable to UK taxation in respect of reportable income arising to such class whether or not such income is distributed to me/us\*. Offshore Reporting documents can be found on the following links: [www.dimensional.com](http://www.dimensional.com), or [www.kpmgreportingfunds.co.uk](http://www.kpmgreportingfunds.co.uk). For further details please consult a tax advisor.
- I/We\* acknowledge that the Companies, the Manager or the Administrator on its behalf intends to take such steps as may be required to satisfy any obligations imposed by (i) the Foreign Account Tax Compliance Act ("FATCA") regulations, (ii) any applicable law relating to the OECD Common Reporting Standard ("CRS") or (iii) any provisions imposed on the Companies under Irish law arising from the inter-governmental agreement between the Government of the United States of America and the Government of Ireland ("IGA"), the Multilateral Competent Authority Agreement on Automatic Exchange of Financial Account Information, sections 891E, 891F or 891G of the Taxes Consolidation Act 1997 ("TCA") and any applicable regulations implementing the same so as to ensure compliance or deemed compliance (as the case may be) with the FATCA regulations, the IGA, CRS and any other applicable laws relating to the automatic exchange of information.
- I/We\* agree to provide to the Companies, the Manager, the Investment Manager, the Administrator and/or the Distributor the necessary FATCA/CRS self-certification declarations, confirmations and/or classifications at such times as each of them may request and furthermore provide any supporting certificates or documents as each of them may reasonably require in connection with this investment by reason of the FATCA regulations, the IGA, CRS, any law arising out of sections 891E, 891F or 891G of the TCA as described above, and any other applicable laws relating to the automatic exchange of information or otherwise. Should any information furnished to any of them become inaccurate or incomplete in any way, I/we\* hereby agree to notify the Companies, the Manager, the Investment Manager, the Administrator and/or the Distributor immediately of any such change and further agree to immediately take such action as the Companies, the Manager, the Investment Manager, the Administrator and/or the Distributor may direct, including where appropriate, redemption of our Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Companies, the Manager, the Investment Manager, the Administrator and/or the Distributor (as applicable). If relevant, I/we\* agree to notify the Companies, the Manager and the Administrator of any change to my/our\* tax residency status. I/We\* hereby also agree to indemnify and keep indemnified the Companies, the Manager, the Investment Manager, the Administrator and/or the Distributor against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly as a result of a failure to meet our obligations pursuant to this section or failure to provide such information which has been requested by the Companies, the Manager, the Investment Manager, the Administrator and/or the Distributor and has not been provided by me/us\*, and from any misrepresentation

\* Delete as appropriate

## 8. DECLARATIONS (CONTINUED)

or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us\* to the Companies, the Manager, the Investment Manager, the Administrator and/or the Distributor. I/We\* further acknowledge that a failure to comply with the foregoing obligations or failure to provide the necessary information required may result in a delay to the processing of a redemption and/or delay to the payment of redemption proceeds or the compulsory redemption of our entire holding in the Companies, the Manager, and that the Companies and the Custodian are authorised to hold back from redemption proceeds or other distributions to me/us\* such amount as is sufficient after the deduction of any redemption charges to discharge any such liability and I/we\* shall indemnify and keep indemnified the Companies, the Manager and the Custodian against any loss suffered by them or other Shareholders in the Companies in connection with any obligation or liability to so deduct, withhold or account.

- I/we\* hereby consent to provision of the Prospectus, PRIIPs KIDs, KIIDs, contract notes, statements, notices of annual or extraordinary general meetings, annual and half-yearly reports and other reports and documents, by secure or encrypted electronic transmission and to receipt by Electronic Delivery, which may be issued from time to time by the Companies, the Manager, Investment Manager, or the Administrator in respect of our holdings in the Companies. Electronic Delivery includes email delivery to the email address set out in section 2 or as

otherwise agreed with the Companies, the Manager, the Investment Manager, or the Administrator from time to time and/ or electronically making available such documentation or information at [www.dimensionalfunds.com](http://www.dimensionalfunds.com) or such other website as may be notified to me/us\*

- I/We\* understand that the Memorandum and Articles of Association give powers to the Directors to impose restrictions on the holding of Shares directly or indirectly by (and consequently to redeem Shares held by), or the transfer of Shares to any person or entity. I/We\* acknowledge that the Directors and the Manager reserve the right to reject at their absolute discretion any application for Shares in a Fund.
- I/We\* understand that if my/our\* application is rejected, the Administrator at the cost and risk of myself/ourselves\* will, subject to any applicable laws, return application monies or the balance thereof, without interest, by electronic transfer to the account from which it was paid as soon as practicable at my/our\* risk.
- (In respect of joint Applicants only) we direct that on the death of one of us the Shares for which we hereby apply be held in the name of and to the order of the survivor or survivors of us or the executor or administrator of the last of such survivor or survivors.

## 9. DECLARATION OF RESIDENCE OUTSIDE IRELAND

Person(s) who is/are Resident or Ordinarily Resident in the Republic of Ireland and who is/are seeking to apply for Shares should strike through the below "Declaration of Residence Outside Ireland". If applicable, to preserve your position as an exempt Irish resident investor, the applicant(s) must complete the appropriate declaration referred to in Section 739D(6) of the Taxes Consolidation Act, 1997. This form is available from the Administrator.

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares.

Terms used in this declaration are defined in the Prospectus. Please select either A or B.

### (A) DECLARATION ON OWN BEHALF

I/We\* declare that I am/we are\* applying for the Shares on my own behalf/our own behalf/on behalf of a company\* and that I am/we are/the company is\* entitled to the Shares in respect of which this declaration is made and that I am/we are/the company is\* not currently Resident or Ordinarily Resident in Ireland, and should I/we/the company\* become Resident in Ireland, I/we\* will so inform you, in writing, accordingly.

### (B) DECLARATION AS INTERMEDIARY

I/We\* declare that I am/we are\* applying for Shares on behalf of persons who will be beneficially entitled to the Shares, and who to the best of my/our\* knowledge and belief, are neither a Resident or Ordinarily Resident in Ireland, and I/we\* also declare that unless I/we\* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us\* from the date of this application will be made on behalf of such persons; and I/we\* will inform you in writing if I/we\* become aware that any person, on whose behalf I/we\* hold Shares, becomes a Resident in Ireland.

## 10. DECLARATION OF POLITICALLY EXPOSED PERSONS

Applicants are required to make the following declaration regarding whether the application is being made by or for a Politically Exposed Person ("PEP"). Terms used in this declaration are defined in Section G2 Documentation Requirements of this Application.

Please complete EITHER Section A or B below.

### (A) DECLARATION THAT APPLICATION IS NOT BEING MADE BY OR FOR A PEP

I/We\* confirm that the application is NOT being made by a PEP/immediate family member of a PEP/close associate of a PEP AND the applicant does NOT have a beneficial owner who is a PEP/immediate family member of a PEP/close associate of a PEP AND the application is NOT being made for the benefit of a PEP/immediate family member of a PEP/close associate of a PEP AND it is NOT intended to transfer the Shares to a PEP/immediate family member of a PEP/close associate of a PEP.

### (B) DECLARATION THAT APPLICATION IS BEING MADE BY OR FOR A PEP

I/We\* confirm that the application is being made by a PEP/immediate family member of a PEP/close associate of a PEP OR the applicant has a beneficial owner who is a PEP/immediate family member of a PEP/close associate of a PEP OR the application is being made for the benefit of a PEP/immediate family member of a PEP/close associate of a PEP OR it is intended to transfer the Shares to a PEP/immediate family member of a PEP/close associate of a PEP.

\* Delete as appropriate

## 10. DECLARATION OF POLITICALLY EXPOSED PERSONS (CONTINUED)

NAME OF PEP

ADDRESS OF PEP

OFFICE OF PEP

RELATIONSHIP OF APPLICANT OR APPLICANT'S BENEFICIAL OWNER TO PEP

COUNTRY

POSTCODE

### SOURCE OF WEALTH OF THE PEP, I.E., AGGREGATION OF ACCUMULATED WEALTH

(PLEASE TICK MULTIPLE BOXES IF APPROPRIATE):

Inheritance

Gift

Sale of Business

Salary

Pension

Investment Income

Sale of assets (please specify):

Lottery

Other (please specify)

Further supporting documentation may be requested if deemed necessary to verify the above information.

## 11. DECLARATION OF ULTIMATE ECONOMIC BENEFICIARY OWNER – TO BE COMPLETED WHERE THE REGISTERED ACCOUNT HOLDER IS NOT A NATURAL PERSON

A beneficial owner is considered to be an individual which:

- A. Ultimately owns or controls whether through direct or indirect ownership or control (including through bearer shareholdings) more than 25 percent of the Shares or voting rights in the investor; or
- B. Otherwise exercises controls over the management of the investor.

Please complete the following for each beneficial owner where applicable:

INDIVIDUAL OR REGISTERED NAME

NATIONALITY

RESIDENTIAL ADDRESS (PO BOX NOT ALLOWED)

ENTITY TYPE\*

DATE OF BIRTH

COUNTRY

POSTCODE

Further supporting documentation may be requested if deemed necessary to verify the above information.

\* Entity Types include (i) individual, (ii) joint account, (iii) publicly listed company, (iv) state-owned entity, (v) pension fund, (vi) regulated credit and financial institution, (vii) nominee company / intermediary company, (viii) private and unlisted companies, (ix) partnerships, (x) collective investment schemes, (xi) trusts, foundations and similar entities, (xii) charities, (xiii) clubs & societies, (xiv) places of worship, (xv) other (please specify).

## 12. AUTHORISATION

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NAME AND ADDRESS OF APPLICANT OR AUTHORISED SIGNATORY

---

SIGNATURE OF APPLICANT OR AUTHORISED SIGNATORY

---

CAPACITY OF AUTHORISED SIGNATORY (IF APPLICABLE)

---

DATE

---

NAME AND ADDRESS OF APPLICANT OR AUTHORISED SIGNATORY

---

SIGNATURE OF APPLICANT OR AUTHORISED SIGNATORY

---

CAPACITY OF AUTHORISED SIGNATORY (IF APPLICABLE)

---

DATE

---

NAME AND ADDRESS OF APPLICANT OR AUTHORISED SIGNATORY

---

SIGNATURE OF APPLICANT OR AUTHORISED SIGNATORY

---

CAPACITY OF AUTHORISED SIGNATORY (IF APPLICABLE)

---

DATE

## G1. GUIDANCE NOTES FOR COMPLETION OF APPLICATION FORM FOR THE COMPANIES

### ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING COPIES OF CURRENT PROSPECTUS, ANNUAL OR INTERIM REPORT.

A copy of the current Prospectus is available on the internet together with the latest Annual or Interim Report at [www.dimensionalfunds.com](http://www.dimensionalfunds.com).

### SIGNING DIRECTIONS

1. To be valid this Application Form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by each Applicant. It is important to note that the Company will be obliged to deduct tax until it has received the original signed declaration. Where there is more than one Applicant, each person must sign. If the Applicant is a company, it must be signed by the company secretary or another authorised officer who should state his capacity and furnish a certified copy of the authority pursuant to which such official is authorised.
2. In the case of a firm (not a limited company) applications should be in the name(s) of and signed by the proprietor(s).
3. If the Application Form (incorporating the declarations required by the Irish Revenue Commissioners) is signed under power of attorney, a certified copy of the power of attorney must be furnished in support of the declaration.
4. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners, and it is a criminal offence to make a false declaration.
5. An "Intermediary" means a person who:
  - Carries on business which consists of, or includes, the receipt of payments, from an investment undertaking resident in Ireland, on behalf of other persons; or
  - Holds Shares in an investment undertaking on behalf of other persons
6. Irish Residents who are seeking to apply for Shares should contact the Administrator for an appropriate form. Exempt Investors who are entitled to payment of redemption proceeds without deduction of tax should also request the appropriate form from the Administrator.

### FOR PENSION FUND AND TRUST CLIENTS

In completing section 12 of the Application Form the required trustees or where appropriate the authorised signatories of the trustee should sign for and on behalf of the relevant trust. Accordingly, in completing section 12 the full name of the Applicant should be given as the name of the trustee or authorised signatory of the trustee acting for and on behalf of the relevant trust. Also, the position of each Applicant should be identified as trustee or as the authorised signatory of the trustee. The individuals who are signing in their capacity as trustees or authorised signatories of the trustee will also need to complete section 1 where their personal details are taken and where it is also required that they identify that they are acting in a trustee capacity and not in a personal capacity.

### GENERAL

The appropriate original subscription documentation must be received by the Administrator. Failure to provide such documentation may delay the processing of the redemption and/or delay the payment of redemption proceeds.

### Distribution in Switzerland

The following sub-funds of Dimensional Funds plc are authorised by the Swiss Financial Market Supervisory Authority ("FINMA") for distribution in Switzerland: Global Core Equity Fund, Global Core Equity Lower Carbon ESG Screened Fund, Global Small Companies Fund, Global Value Fund, Global Targeted Value Fund, World Equity Fund, Emerging Markets Large Cap Core Equity Fund, Emerging Markets Value Fund, Emerging Markets Core Equity Lower Carbon ESG Screened Fund, European Value Fund, U.S. Small Companies Fund, European Small Companies Fund, Global Short Fixed Income Fund, and Global Core Fixed Income Lower Carbon ESG Screened Fund. The representative and paying agent of Dimensional Funds plc in Switzerland (the "Representative") is State Street Bank International GmbH, Kalanderplatz 5, Postfach, 8027 Zürich. The Prospectus, the Key Investor Information Documents or the Key Information Documents, as applicable, the articles of association, as well as the annual and semi-annual reports may be obtained free of charge from the Representative in Switzerland. In respect of the shares offered in Switzerland, the place of performance is at the registered office of the Representative. The place of jurisdiction is at the registered office of the Representative or at the registered office or place of residence of the investor.

All other sub-funds of Dimensional Funds plc or Dimensional Funds II plc will be exclusively made to and directed at qualified investors (the "Qualified Investors") as defined in Article 10(3) and (3ter) of the Swiss Collective Investment Schemes Act of 23 June 2006, as amended ("CISA") and its implementing ordinance, at the exclusion of qualified investors with an opting-out pursuant to Article 5(1) of the Swiss Federal Law on Financial Services ("FinSA"). Accordingly, these sub-funds have not been registered and will not be registered at this time with FINMA. This document and/or any offering materials relating to shares of such sub-funds may be made available in Switzerland solely to Qualified Investors.

## G2. DOCUMENT REQUIREMENTS

### IN RESPECT OF THE IRISH ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING VERIFICATION REQUIREMENTS IN ACCORDANCE WITH THE CRIMINAL JUSTICE (MONEY LAUNDERING AND TERRORIST FINANCING) ACT, 2010

Please refer all questions to the Administrator, State Street Fund Services (Ireland) Limited (telephone: + 353 1 242 5536).

Under Irish legislation and supplemental guidance covering AML and the taxation of savings the Companies, the Manager and the Administrator are required to obtain the following documentation to verify the identity and source of funds of all new Applicants. This documentation should be provided with the Application Form. The documentation listed below may not cover all applications and the Companies, the Manager and the Administrator reserve the right to request additional documentation if required.

Should documents be provided in a language other than English, an English translation may be required, and, in this case, the certification should also include a statement that the translation is a true translation.

Please note that the application may not be accepted until the Administrator is in receipt of all required AML documentation, the original Application Form, and the original banking details for the settlement of the redemption proceeds. In any event, redemption and dividend payments will not be processed until full AML documentation has been received on the account and the Companies and the Administrator reserve the right to take further action where full AML documentation has not been received.

#### DOCUMENTARY VERIFICATION

- Documentation which may be required for all individual Applicants.

The following documentation must be provided for each Applicant in the case of joint Applicants:

1. Personal Verification  
ONE official photographic document with name and date of birth (Certified);
2. Address Verification  
TWO different address verification documents (Original or Certified);
3. Source of Funds Verification;
4. Source of Wealth Verification.

- Documentation which may be required for Applicants to which Simplified Customer Due Diligence (SCDD) Applies

1. Confirmation of name and address;
2. Confirmation of regulatory body;
3. Original authorised signatory list;

4. Original letter of assurance (where investing as an intermediary);
5. Proof Applicant is an entity to which SCDD applies.
6. Original / certified Declaration of Beneficial Owner(s) form.
7. Verify the identity of all SMO's if there is no natural person who owns more than 25%.
  - Documentation which may be required for Applicants to which SCDD does not apply
    1. Confirmation of name, office address, principal business address and registered number;
    2. Confirmation of regulatory body (if applicable);
    3. Certificate of incorporation or certificate to trade (Certified) ;
    4. Memorandum and articles of association;
    5. Latest audited financial statements (Certified);
    6. Nature and purpose of the entity;
    7. List of directors to include full name, dates of birth, occupation, residential and business addresses;
    8. Authorised Mandate or Board Resolution to establish the business relationship;
    9. Original Authorised Signatory List;
    10. ONE personal verification and TWO address verification documents for at least TWO directors OR ONE director and ONE authorised signatory (original or certified);
    11. Names and addresses of shareholders holding 25% or more of the issued share capital, and in the case of individual shareholders, names, residential addresses, occupations, and dates of birth. Where a body corporate holds 25% or more of the issued share capital, names, residential addresses, occupations, and dates of birth of the ultimate beneficial owners of that body corporate should be obtained.
    12. Original / certified Declaration of Beneficial Owner(s) form.
    13. Verify the identity of all SMO's if there is no natural person who owns more than 25%

\* All copies can be certified by any of the following: a police officer, chartered and certified public accountant, notaries public, solicitor, embassy and consular staff or a representative of your independent Bank, registered broker-dealer, or other regulated financial institution. Each document should be marked with the words 'original seen' or 'true copy of original documents. The document should be signed by a person representing the entity certifying the document and noting their capacity and licence number (if applicable).

## G2. DOCUMENT REQUIREMENTS (CONTINUED)

### IN RESPECT OF THE IRISH ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING VERIFICATION REQUIREMENTS IN ACCORDANCE WITH THE CRIMINAL JUSTICE (MONEY LAUNDERING AND TERRORIST FINANCING) ACT, 2010

- **Documentation which may be required for UK/Irish Pension Schemes (additional documentation will be required for non-Irish/UK schemes)**
  1. Confirmation of name and address;
  2. Constitutional/formation document (for example, the trust deed or equivalent and any supplementary agreements);
  3. Original authorised signatory list;
  4. Documents (as set out in the relevant section of this G2) on the manager of pension fund (whose name will be entered onto the register);
  5. Copy of approval of the pension scheme by the relevant tax authority or pension board;
  6. CTC evidence that the person representing the pension scheme is empowered to act;
  7. List of the Trustees / Directors / Governors / Board Members;
  8. One Personal Verification and TWO Address Verification Documents (as described above for individual applicants) for TWO Trustees/Directors/Governors/Board Members or equivalent.
  9. Original / certified Declaration of Beneficial Owner(s) form.
  10. Verify the identity of all SMO's if there is no natural person who owns more than 25%.
- **Documentation which may be required for a Nominee Company**
  1. Confirmation of name and address of nominee company;
  2. Original authorised signatory list for the nominee account;
  3. Confirmation of name and address of parent of nominee;
  4. Confirmation from regulatory body that the parent or nominee is regulated for AML purposes;
  5. Original authorised signatory list of parent;
  6. Proof of regulation (nominee/parent);
  7. Original letter of assurance from parent.
  8. Original / certified Declaration of Beneficial Owner(s) form.
  9. Verify the identity of all SMO's if there is no natural person who owns more than 25%.
- **Documentation which may be required for a Foundation or Charity**
  1. Confirmation of name, registered and principal business address;
  2. Certified copy of constitutional/formation document;
  3. Nature and purpose for which it was established;
- 4. List of the trustees/directors/governors/board members;
- 5. Original or certified authorised signatory List;
- 6. ONE Personal Verification and TWO Address Verification documents (as described above for individual Applicants) for at least TWO trustees/ directors/governors/ board members or ONE ;trustee/director/governor/ board member and ONE authorised signatory (original or certified);
- 7. ONE Personal Verification and TWO Address Verification documents for any settler (where appropriate);
- 8. ONE Personal Verification and TWO Address Verification documents for all beneficiaries who own at least 10% of the capital;
- 9. Original / certified Declaration of Beneficial Owner(s) form;
- 10. Verify the identity of all SMO's if there is no natural person who owns more than 10%.
- **Documentation which may be required for a Trust**
  1. Confirmation of name of Trust and registered address of Trust;
  2. Certified copy of Trust deed or equivalent;
  3. Nature and purpose for which the Trust was established;
  4. Name, address, and date of birth of any settlor and/ or protector;
  5. ONE Personal Verification and TWO Address Verification documents for any settler and/or Protector (where appropriate);
  6. Name, address and date of birth of all beneficiaries including all beneficiaries entitled to the capital, profit or voting rights should be obtained;
  7. ONE Personal Verification and TWO Address Verification documents for all beneficiaries. If the account is for a discretionary trust, written confirmation that no beneficiary has gained from the trust will be required;
  8. Name, address, and date of birth of all trustees;
  9. ONE Personal Verification and TWO Address Verification documents (as described above for individual Applicants) for all trustees;
  10. Original or certified authorised Signatory List.

Please note that the Administrator can only accept Application Forms from an entity that has legal capacity to enter contracts on its own right and may require the constitutive document to legitimate legal status.

## G2. DOCUMENT REQUIREMENTS (CONTINUED) IN RESPECT OF THE IRISH ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING VERIFICATION REQUIREMENTS IN ACCORDANCE WITH THE CRIMINAL JUSTICE (MONEY LAUNDERING AND TERRORIST FINANCING) ACT, 2010

### ■ Additional Documentation for Irish Resident or Ordinarily Irish Resident

Return of Values (Investment Undertakings) Regulations 2013

All investment undertakings managed by Dimensional Funds plc and Dimensional Funds II plc must collect additional information in order to satisfy Return of Values (Investment Undertakings) Regulations 2013 (S.I. 245 of 2013). Therefore, any individual, company or any unincorporated body of persons which are an Irish resident or ordinarily Irish resident (other than an exempt Irish resident or non-Irish resident that has provided the appropriate declaration) must provide the following additional information and documentation.

#### TAX IDENTIFICATION NUMBER (TIN) / PPS NUMBER

Any one of the following additional documents are required to verify the TIN or PPS Number (either a copy or the original is sufficient)

- P60
- P45
- P21 Balancing Statement
- Payslip (where employer is identified by name or tax number)
- Drug Payment Scheme Card
- European Health Insurance Card
- Tax Assessment
- Tax Return Form
- PAYE Notice of Tax Credits
- Child Benefit Award Letter/Book
- Pension Book
- Social Services Card
- Public Services Card

In addition, any printed documentation issued by the Revenue Commissioners or by the Department of Social Protection which contain your name, address and tax reference number will also be acceptable. In the case of joint account holders, the additional documentation is required for each applicant.

Your personal information will be handled by the Administrator or its duly appointed delegates as Data Processor for all investment undertakings an aged by Dimensional Funds plc Dimensional Funds II plc in accordance with the Data Protection

Acts 1988 to 2003. Your information provided herein will be processed for the purposes of complying with the Return of Values (Investment Undertakings) Regulations 2013 and this may include disclosure to the Irish Revenue Commissioners.

**Politically Exposed Person ("PEP")** is an individual who has at any time in the preceding 12 months been, entrusted with a prominent public function. This includes the following individuals (but excluding any middle ranking or more junior official):

- A. A Specified Official, as defined below;
- B. A member of the administrative, management or supervisory body of a state-owned enterprise;

**Specified Official** is (including any such officials in an institution of the European Communities or an international body; no public function referred to in points (a) to (h) below shall be understood as covering middle-ranking or more junior officials.);

- A. Heads of State, heads of government, ministers and deputy or assistant ministers;
- B. Members of parliament or of similar legislative bodies;
- C. Members of the governing bodies of political parties;
- D. Members of supreme courts, of constitutional courts or of other high level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances;
- E. Members of courts of auditors or of the boards of central banks;
- F. Ambassadors, chargés d'affaires and high-ranking officers in the armed forces;
- G. Members of the administrative, management or supervisory bodies of State-owned enterprises;
- H. Directors, deputy directors and members of the board or equivalent function of an international organisation.

Immediate family member of a PEP - includes any of the following persons:

- A. Any spouse of the PEP;
- B. Any person who is considered to be equivalent to a spouse of the PEP under the national or other law of the place where the person or PEP resides;

**G2. DOCUMENT REQUIREMENTS (CONTINUED)**

**IN RESPECT OF THE IRISH ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING VERIFICATION REQUIREMENTS IN ACCORDANCE WITH THE CRIMINAL JUSTICE (MONEY LAUNDERING AND TERRORIST FINANCING) ACT, 2010**

- C. Any child of the PEP;
- D. Any spouse of a child of the PEP;
- E. Any person considered to be equivalent to a spouse of a child of the PEP under the national or other law of the place where the person or child resides;
- F. Any parent of the PEP;
- G. Any other family member of the PEP who is of a class prescribed by the Minister for Justice under section 37(11) of the Act.

Close associate of a PEP - includes any of the following persons:

- A. Any individual who has joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with the PEP;
- B. Any individual who has sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the PEP.

Beneficial owner of a body corporate - is any individual who (other than a company having securities listed on a regulated market):

- A. Ultimately owns or controls, whether through direct or indirect ownership or control (including through bearer shareholdings), more than 25 per cent of the Shares or voting rights of the body; or
- B. Otherwise exercises control over the management of the body.

**ANNEX FOR U.S. INVESTORS**

- Please tick to confirm the following statement and, where applicable, provide the information requested:
    - In connection with the purchase of Shares, I/we\* hereby certify that: (i) I/we am/are\* an authorised signatory of a professional fiduciary (i.e., an investment adviser) (“Professional Fiduciary”) that is organised or incorporated outside of the United States; (ii) the Professional Fiduciary is acting for the benefit or account of U.S. Person, as defined in the Prospectus, on a fully discretionary basis from outside of the United States; and (iii) a completed Regulation S Certification Letter (in the form provided by Dimensional) is attached hereto.
    - If applicable, please provide the following confirmations for U.S. Taxpayer applicants:
    - I/We\* confirm that I/we\*currently hold Shares for the account of a U.S. Taxpayer Shareholder, as defined in the Prospectus.
    - Where not already provided, please provide the Employer Identification Number or Social Security Number (as applicable) where you will hold Shares on account for a U.S. Taxpayer:
- 
- U.S. Taxpayers should also complete and return a properly executed IRS Form W-9 certifying as to their U.S. tax status. (Blank forms are available from the Administrator on request).
  - PFIC reporting as outlined in the Prospectus may, at the discretion of the Directors, be provided for the applicable Funds (list to be provided upon request).

- Any PFIC reports provided will be addressed to the shareholder on record.
- If you wish to receive PFIC reporting, please confirm by ticking this box.
  - If you wish the PFIC report to be copied to a person other than the shareholder on record, please insert details here:

NAME

ADDRESS

TELEPHONE

Applicants should note that PFIC reporting for these applicable Funds is provided at the discretion of the Directors who shall be entitled to withdraw the provision of PFIC reporting at any time generally and in specific cases upon reasonable notice to the affected U.S. Taxpayer Shareholders. There can be no assurance that a QEF election will be available with respect to any PFIC shares held by a shareholder indirectly through the Company.

\* Delete as appropriate

## FUNDS AND SHARE CLASSES

Please note, deals should not be placed on this page, a separate dealing instruction should be used once the account has been opened.

Minimum initial investment : USD200,000; EUR200,000; GBP100,000; NOR Kr900,000; CHF200,000; SEK1,000,000; JPY20,000,000; SGD200,000; AUD100,000

### DIMENSIONAL FUNDS PLC

	ISIN	CUSIP	WKN
<b>EQUITY</b>			
<b>EMERGING MARKETS LARGE CAP CORE EQUITY FUND</b>			
USD Accumulation	IE00BYVJQT42	G27611881	A2AJZ3
USD Distributing	IE00BYVJQV63	G2R79E105	A40LDL9
EUR Distributing	IE00BWGCG943	G27611576	A2AF3R
EUR Accumulation	IE00BWGCG836	G27611568	A2AF3S
GBP Accumulation	IE00BYVJQW70	G2R79E113	A2JDFM
SGD Accumulation	IE00BF20LB02	G2R79E162	A2DRGU
SEK Accumulation	IE00BN44WN56	G2879H620	A2QHXG
NOK Accumulation	IE00BJV3R984	G2878T633	A2PUQ3
<b>EMERGING MARKETS CORE EQUITY LOWER CARBON ESG SCREENED FUND</b>			
EUR Accumulation	IE00BLCGQT35	G2879H653	A3C531
EUR Distributing	IE00BLCGQV56	G2879H661	A3C532
GBP Accumulation	IE00BLCGQW63	G2879H679	A3C533
GBP Distributing	IE00BLCGQX70	G2879H687	A3C534
USD Accumulation	IE00BLCGQZ94	G2879H703	A3C535
SGD Accumulation	IE00BLCGQY87	G2879H752	A3DEGA
JPY Distributing	IE00BLCH4N61	G2879H695	A3DK3M
SEK Accumulation	IE00BLCGR125	G2879H729	A3DMMV
NOK Accumulation	IE00BLCGR232	G2879H737	A3DMMW
<b>EMERGING MARKETS VALUE FUND</b>			
USD Distributing	IE00B3WX3B94	G2878T104	A1JH90
USD Accumulation	IE00B0HCGS80	G27610255	A1C9CZ
EUR Distributing	IE00B42THM37	G27610727	A1JH9Z
EUR Accumulation	IE00B0HCGV10	G27610248	A0YAPZ
GBP Distributing	IE00B0HCGW27	G27610271	A1C9C0
GBP Accumulation	IE00B0HCGX34	G27610263	A1C9C1
JPY Distributing	IE00BMBN2Z00	G27611410	A11351
JPY Accumulation	IE00BMBN2Y92	G27611402	A11352
SEK Accumulation	IE00BN898381	G27611386	A116H4
<b>EUROPEAN SMALL COMPANIES FUND</b>			
USD Distributing	IE00B665FN85	G2878T112	A1JH98
USD Accumulation	IE0030982288	G27610156	A1C9C2
EUR Distributing	IE00B65J1M22	G2878T120	A1JH97
EUR Accumulation	IE0032769055	G2877T113	A0YAPt
GBP Distributing	IE00B05PYQ31	G2877T121	A1C9C3
GBP Accumulation	IE00B0701870	G2877T139	A1C9C4

## FUNDS AND SHARE CLASSES (CONTINUED)

Please note, deals should not be placed on this page, a separate dealing instruction should be used once the account has been opened.

### DIMENSIONAL FUNDS PLC

	ISIN	CUSIP	WKN
<b>EQUITY</b>			
<b>EUROPEAN VALUE FUND</b>			
USD Distributing	IE00B3LCJT79	G2878T138	A1JJAA
USD Accumulation	IE00B1W6CV70	G27610149	A1C9DH
EUR Distributing	IE00B3NHP925	G2878T146	A1JH99
EUR Accumulation	IE00B1W6CW87	G2877Y120	A0YAN6
GBP Distributing	IE00B1W6CX94	G2877Y229	A1C9DJ
GBP Accumulation	IE00B1W6CY02	G2877Y195	A1C9DK
<b>GLOBAL CORE EQUITY FUND</b>			
USD Distributing	IE00B3SMQQ66	G2878T179	A1JJAC
USD Accumulation	IE00B2PC0153	G27610651	A1C9DS
EUR Distributing	IE00B3M0BZ05	G2878T211	A1JJAB
EUR Accumulation	IE00B2PC0260	G2877Y476	A0RMKV
GBP Distributing	IE00B2PC0377	G2877Y252	A1C9DT
GBP Accumulation	IE00B2PC0484	G2877Y260	A1C9DU
JPY Distributing	IE00BMBN2V61	G27611436	A1136A
JPY Accumulation	IE00BMBN2T40	G27611428	A1136B
JPY Accumulation (Hedged)	IE0001XFG9I2	G2879J741	A40TNM
SEK Accumulation	IE00BN897X17	G27611329	A116H6
SGD Accumulation	IE00BF20L879	G2R79E170	A2DRGV
<b>GLOBAL SMALL COMPANIES FUND</b>			
USD Distributing	IE00B3NCQG16	G2878T260	A1JJAL
USD Accumulation	IE00B3MRDK01	G27610735	A1JJAK
EUR Distributing	IE00B3XNN521	G2878T278	A1JJAG
EUR Accumulation	IE00B67WB637	G27610768	A1JJAF
GBP Distributing	IE00B3TGGL29	G27610750	A1JJAJ
GBP Accumulation	IE00B67QQ264	G27610743	A1JJAH
<b>GLOBAL CORE EQUITY LOWER CARBON ESG SCREENED FUND</b>			
USD Distributing	IE00B60T4288	G2879H158	A2AF3N
USD Accumulation	IE00B8DMPF88	G2879H141	A2AF3M
EUR Distributing	IE00B8N2Z924	G2879H117	A2AF3J
EUR Accumulation (Hedged)	IE00050X9228	G2879J808	A41BDF
EUR Accumulation	IE00B7T1D258	G2879H109	A2AF3H
GBP Distributing	IE00B8CJRF41	G2879H133	A2AF3L
GBP Accumulation	IE00B7YBX086	G2879H125	A2AF3K
NOK Accumulation	IE00BJV3R877	G2878T617	A2PUQ4
SEK Accumulation	IE00BN44WM40	G2879H638	A2QHXH
JPY Distributing	IE00BKV0RH45		
SGD Accumulation	IE00BLFJC025		A3C491

**FUNDS AND SHARE CLASSES (CONTINUED)**

Please note, deals should not be placed on this page, a separate dealing instruction should be used once the account has been opened.

**DIMENSIONAL FUNDS PLC**

	ISIN	CUSIP	WKN
<b>EQUITY</b>			
<b>GLOBAL HIGH PROFITABILITY LOWER CARBON ESG SCREENED FUND</b>			
EUR Accumulation	IE000X0YGE5	G2879J568	A40L9H
EUR Distributing	IE000JH3AOP3	G2879J543	A40L9J
GBP Accumulation	IE000ZDYPKY6	G2879J535	A40L9K
GBP Distributing	IE000BWBU2P6	G2879J527	A40L9L
USD Accumulation	IE000XU4JRI5	G2879J576	A40L9P
<b>GLOBAL TARGETED VALUE LOWER CARBON ESG SCREENED FUND</b>			
USD Accumulation	IE0002GVBP13	G2879J360	A3EWBN
EUR Distributing	IE000QV5QHF2	G2879J410	A3EWBM
EUR Accumulation	IE000XNKOYM8	G2879J436	A3EWBL
GBP Distributing	IE000BW9XDJ7	G2879J394	A3EWBR
GBP Accumulation	IE0002VBG0Q9	G2879J378	A3EWBQ
SEK Accumulation	IE000YNL03G1	G2879J444	A4077T
<b>GLOBAL TARGETED VALUE FUND</b>			
USD Distributing	IE00B640PD51	G2878T328	A1JJAN
USD Accumulation	IE00B2PC0609	G27610693	A1C9DP
EUR Distributing	IE00B6897102	G2878T187	A1JJAM
EUR Accumulation	IE00B2PC0716	G2877Y161	A0RMKW
GBP Distributing	IE00B2PC0823	G2877Y468	A1C9DQ
GBP Accumulation	IE00B2PC0930	G2877Y146	A1C9DR
SEK Accumulation	IE00BN897Z31	G27611345	A116H9
SGD Accumulation	IE00BF20L986	G2R79E188	A2DRGW
JPY Accumulation	IE00BFNBK823	G2878T450	
NOK Accumulation	IE00BJV3RB08	G2878T625	A2PUQ5
<b>GLOBAL VALUE FUND</b>			
EUR Accumulation	IE00B60LX167	G2R77Z290	A1JJAP
GBP Distributing	IE00B67NVM27	G2R77Z548	A1JJAS
GBP Accumulation	IE00B3NVPH21	G2R77Z514	A1JJAR
USD Accumulation	IE00B687H819	G2R77Z563	A1JJAT
<b>PACIFIC BASIN SMALL COMPANIES FUND</b>			
USD Distributing	IE00B3RKMY14	G2878T195	A1JJA0
USD Accumulation	IE0034140404	G27610123	A1C9C5
EUR Distributing	IE00B3NBJR05	G2878T203	A1JAPG
EUR Accumulation	IE0034140511	G2760F246	A0YAPU
GBP Distributing	IE00B05PYV83	G2760F279	A1C9C6
GBP Accumulation	IE00B0701D24	G2760F295	A1C9C7
NOK Accumulation	IE00BG85LH23	G2878T484	
<b>US CORE EQUITY FUND</b>			
GBP Accumulation	IE000WFHV0B9	G2879J345	N/A
USD Accumulation	IE00BYTYVB81	G27611790	
SGD Accumulation	IE00BLFJBY96	G2879H778	

## FUNDS AND SHARE CLASSES (CONTINUED)

Please note, deals should not be placed on this page, a separate dealing instruction should be used once the account has been opened.

### DIMENSIONAL FUNDS PLC

	ISIN	CUSIP	WKN
<b>EQUITY</b>			
<b>US SMALL COMPANIES FUND</b>			
USD Distributing	IE00B68NBZ41	G2878T245	A1JAPH
USD Accumulation	IE0030982171	G2760F238	A1C9C8
EUR Accumulation	IE0032768974	G2760F220	A0YAPS
GBP Distributing	IE00B05PYS54	G2760F261	A1C9C9
GBP Accumulation	IE00B0701B00	G2760F287	A1C9DA
<b>WORLD EQUITY FUND</b>			
USD Accumulation	IE00B3V7VL84	G2878T351	A1JUY4
USD Distributing	IE00B458ZH80	G2878T369	A1JUY5
GBP Accumulation	IE00B3Z8MM50	G27610784	A1JUY2
GBP Distributing	IE00B55SRBK47	G27610792	A1JUY3
GBP Accumulation (Hedged)	IE000UPTU0B6	G2879J337	A3D7FU
EUR Accumulation	IE00B4MJ5D07	G2878T377	A1JUY0
EUR Distributing	IE00B53RD369	G2878T385	A1JUY1
AUD Accumulation	IE000XRAI579	G2879J782	A40TNQ
JPY Accumulation	IE000NP6N8H7	G2879J592	A408LD
SEK Accumulation	IE00BN898167	G27611360	A116JB
SEK Distributing	IE00BN898274	G27611378	A116JC
SGD Accumulation	IE00BF20L762	G2R79E196	A2DRGX
<b>WORLD EQUITY LOWER CARBON ESG SCREENED FUND</b>			
GBP Accumulation	IE0004AHP0R3	G2879J246	A3D8BM
GBP Distributing	IE000VWAU7M9	G2879J279	A3D8BN
EUR Distributing	IE000NI56WV8	G2879J311	A3D8BK
EUR Accumulation	IE000A2PTTN4	G2879J261	A3D8BL
NOK Accumulation	IE0001L9WEV8	G2879J238	A3D8BR
SEK Accumulation	IE000KF8GKU6	G2879J303	A3D8BS

**FUNDS AND SHARE CLASSES (CONTINUED)**

Please note, deals should not be placed on this page, a separate dealing instruction should be used once the account has been opened.

**DIMENSIONAL FUNDS PLC**

	ISIN	CUSIP	WKN
<b>FIXED INCOME</b>			
<b>GLOBAL SHORT-TERM INVESTMENT GRADE FIXED INCOME FUND</b>			
USD Accumulation	IE00BFG1R007	G2879H232	A12F72
USD Distributing	IE00BFG1R114	G2879H240	A12F73
GBP Accumulation	IE00BFG1R551	G2879H273	A12F76
GBP Distributing	IE00BFG1R668	G2879H281	A12F77
EUR Accumulation	IE00BFG1R338	G2879H257	A1XFZN
EUR Distributing	IE00BFG1R445	G2879H265	A12F75
CHF Distributing	IE00BFG1RB17	G2879H349	A12F8D
SEK Accumulation	IE00BFG1RC24	G2879H315	A12F8A
SGD Accumulation (Hedged)	IE00BF20L655	G2R79E154	A2DRGZ
<b>GLOBAL CORE FIXED INCOME LOWER CARBON ESG SCREENED FUND</b>			
USD Accumulation	IE00BKPWG913	G2878T724	A2PVZT
GBP Accumulation	IE00BKPWG798	G2878T732	A2PVZP
GBP Distributing	IE00BKPWG806	G2878T716	A2PVZQ
EUR Accumulation	IE00BKPWG574	G2878T674	A2PVZR
EUR Distributing	IE00BKPWG681	G2878T682	A2PVZS
SEK Accumulation	IE00BLCGQS28	G2879H646	
SGD Accumulation	IE00BLFJC249	G2879H810	A3C490
JPY Distributing	IE00BKV0RJ68	G2879H596	A2P57A
<b>GLOBAL ULTRA SHORT FIXED INCOME FUND</b>			
USD Accumulation	IE00BKX45S11	G27611196	A1136V
GBP Distributing	IE00B64G4818	G27610594	A1C9DF
GBP Accumulation	IE00B64G4925	G27610602	A1C9DG
EUR Distributing	IE00BKX45Y70	G27611246	A1136Q
EUR Accumulation	IE00BKX45X63	G27611238	A1136R
SEK Accumulation	IE00BKX45Z87	G27611253	A1136W
<b>STERLING INFLATION LINKED INTERMEDIATE DURATION FIXED INCOME FUND</b>			
GBP Distributing	IE00B3YST251	G2878T336	
GBP Accumulation	IE00B3PVQJ91	G27610776	

**FUNDS AND SHARE CLASSES (CONTINUED)**

Please note, deals should not be placed on this page, a separate dealing instruction should be used once the account has been opened.

**DIMENSIONAL FUNDS PLC**

	ISIN	CUSIP	WKN
<b>FIXED INCOME</b>			
<b>EURO INFLATION LINKED INTERMEDIATE DURATION FIXED INCOME FUND</b>			
EUR Distributing	IE00B3LNHS53	G2878T344	A1JKGX
EUR Accumulation	IE00B3N38C44	G2878T609	A1JBQ4
<b>GLOBAL CORE FIXED INCOME FUND</b>			
USD Accumulation	IE00BG85LS38	G2878T583	A3E1WU
GBP Accumulation	IE00BG85LL68	G2878T526	A3E1WS
GBP Distributing	IE00BG85LM75	G2878T591	A3E1WW
EUR Accumulation	IE00BG85LJ47	G2878T492	A3E1WR
EUR Distributing	IE00BG85LK51	G2878T518	A3E1WY
JPY Accumulation	IE00BG85LN82	G2878T542	A3E1WX
SGD Accumulation	IE00BG85LQ14	G2878T567	A3E1WT
SGD Distributing	IE00BG85LR21	G2878T575	A3E1VW
CHF Accumulation	IE000U4PKCV4	G2879J881	A420ZF
<b>GLOBAL SHORT FIXED INCOME FUND</b>			
USD Distributing	IE00B3S6T365	G2878T153	A1JJAE
USD Accumulation	IE0030982627	G27610131	A1C9DB
EUR Distributing	IE00B3QL0Y14	G2878T161	A1JJAD
EUR Accumulation	IE0031719473	G27610214	A0YAPN
NOK Distributing	IE00B2447X33	G27610537	A1C9DE
NOK Accumulation	IE00BF003D83	G2878T393	A2DXTQ
CHF Distributing	IE00B3WGLP80	G27610586	A1C7B5
SEK Accumulation	IE00BN897W00	G27611311	A116H8
SEK Distributing	IE00B4QKY096	G27610610	A1H6W9
GBP Distributing	IE00B05PYX08	G2760F303	A1C9DC
GBP Accumulation	IE00B0701G54	G2760F329	A1C9DD
JPY Accumulation	IE00BGLC7S32	G2R77Z837	A1XA9H
JPY Distributing	IE00BKX45R04	G27611188	A1136D
SGD Accumulation (Hedged)	IE00BF20L549	G2R79E147	A2DRGY
<b>GLOBAL SHORT FIXED INCOME LOWER CARBON ESG SCREENED FUND</b>			
USD Accumulation	IE000WXY2TK9	G2879J113	A3DMMR
USD Distributing	IE000WM8TSQ7	G2879J170	A3DMMS
EUR Accumulation	IE000JA3S476	G2879H885	A3C8PQ
EUR Distributing	IE000TIVIXI5	G2879J162	A3C8PR
GBP Accumulation	IE000MBVK9Q9	G2879J105	A3C8PS
GBP Distributing	IE00009DUQ60	G2879H844	A3C8PT
AUD Accumulation	IE000J2TE3M1	G2879H877	A3C8PU
NOK Distributing	IE000MYU75H0	G2879J121	A3DMMT
SEK Accumulation	IE000J1YLVL6	G2879H869	A3DMMU

## FUNDS AND SHARE CLASSES (CONTINUED)

Please note, deals should not be placed on this page, a separate dealing instruction should be used once the account has been opened.

### DIMENSIONAL FUNDS PLC

	ISIN	CUSIP	WKN
<b>WORLD ALLOCATION</b>			
<b>WORLD ALLOCATION 20/80 FUND</b>			
USD Accumulation	IE00BYTYTZ87	G27611675	A2N48M
GBP Accumulation	IE00BYTYTV40	G27611634	A2P5KU
GBP Distributing	IE00BYTYTW56	G27611642	A2P5KV
EUR Accumulation	IE00BYTYTX63	G27611659	A2P5KW
EUR Distributing	IE00BYTYTY70	G27611667	A2P5KX
AUD Accumulation	IE000R0K7A50	G2879J790	A40TNR
JPY Accumulation	IE00BZ4BM961	G27611865	A2P5K0
SGD Accumulation	IE00BKPRGK70	G2879H588	A2P5K2
<b>WORLD ALLOCATION 40/60 FUND</b>			
USD Accumulation	IE00BFZ0X665	G2878T435	A2JQ4Z
GBP Accumulation	IE00B56FVB15	G27610818	A1WZRQ
GBP Distributing	IE00B5KL2L23	G27610800	A1WZRR
EUR Accumulation	IE00B8Y02V60	G2879H174	A1W511
EUR Distributing	IE00B9L4LR73	G2879H182	A1W512
AUD Accumulation	IE000Y0AJRF3	G2879J766	A40TNN
JPY Accumulation	IE000OU2UGT7	G2879J204	A3EA2A
SGD Accumulation	IE00BKPRGL87	G2879H554	A2P5K9
<b>WORLD ALLOCATION 60/40 FUND</b>			
GBP Distributing	IE00B44MYD08	G27610826	A1WZRP
GBP Accumulation	IE00B416SD35	G27610834	A1WZRN
EUR Distributing	IE00B9MC5R88	G2879H208	A1W510
EUR Accumulation	IE00B9L4YR86	G2879H190	A1W51Z
USD Accumulation	IE00BFZ0X772	G2878T427	A2JGYZ
AUD Accumulation	IE000EKY0VW1	G2879J758	A40TNP
SGD Accumulation	IE00BG85LG16	G2878T476	A2PT1E
SGD Distributing	IE00BKPRGM94	G2879H562	
<b>WORLD ALLOCATION 80/20 FUND</b>			
USD Accumulation	IE00BYTYV523	G27611733	A2P5LF
USD Distributing	IE00BYTYV630	G27611741	A2P5LG
GBP Accumulation	IE00BYTYV184	G27611691	A2P5LB
GBP Distributing	IE00BYTYV291	G27611709	A2P5LC
EUR Accumulation	IE00BYTYV309	G27611717	A2P5LD
EUR Distributing	IE00BYTYV416	G27611725	A2P5LE
AUD Accumulation	IE000VIO8BL4	G2879J774	A40TNS
JPY Accumulation	IE000QT3E3V8	G2879J220	A3EA2C
SGD Accumulation	IE00BKPRGG35	G2878T740	A2P5LH

## FUNDS AND SHARE CLASSES (CONTINUED)

Please note, deals should not be placed on this page, a separate dealing instruction should be used once the account has been opened.

### DIMENSIONAL FUNDS PLC

	ISIN	CUSIP	WKN
<b>CENTRAL PROVIDENT FUND (CPF)</b>			
<b>EMERGING MARKETS LARGE CAP CORE EQUITY III FUND</b>			
SGD Accumulation	IE000H91D406		
<b>GLOBAL CORE EQUITY III FUND</b>			
SGD Accumulation	IE000NWWYHL53		
<b>GLOBAL CORE FIXED INCOME III FUND</b>			
SGD Accumulation	IE000GCBOHN8		

## FUNDS AND SHARE CLASSES

Please note, deals should not be placed on this page, a separate dealing instruction should be used once the account has been opened.

### DIMENSIONAL FUNDS II PLC

	ISIN	CUSIP	WKN
<b>EQUITY</b>			
<b>EMERGING MARKETS TARGETED VALUE FUND</b>			
EUR Accumulation	IE00B1W6DP85	G2877Y153	A1C5E2
EUR Distributing	IE00B3KXKC14	G2877Y831	A3D7PP
GBP Accumulation	IE00B1W6DR00	G2877Y112	A1C9DX
GBP Distributing	IE00B1W6DQ92	G2877Y211	A1C9DW
USD Accumulation	IE00B1W6DN61	G2877Y104	A1C9DV
SEK Accumulation	IE000JUFS117	G2877Y856	A414J5

# **AEOI FATCA and CRS Entity Self-Certification**

**Account holders that are Individuals or Controlling Persons should not complete this form and should complete the form entitled "Individual (including Controlling Persons) Self-Certification for FATCA and CRS".**

## **Instructions for completion and Data Protection notice.**

We are obliged under Section 891E, Section 891F, and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this application form you are providing personal information, which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2018). Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They in turn may exchange this information, and other financial information with foreign tax authorities, including tax authorities located outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information and guidance on FATCA or CRS please refer to the Irish Revenue or the OECD websites at:

<https://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/index.aspx>

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/> in the case of CRS only.

*If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.*

**(Mandatory fields are marked with an \*)**

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## **\*Section 1. Entity Account holder Details**

**\*Account holder Name:** \_\_\_\_\_ (the "Entity")

**\*Country of Incorporation or Organisation:** \_\_\_\_\_

**\*Current (Resident or Registered) Address:**

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, Town, State, Province or County: \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_ Country: \_\_\_\_\_

**Mailing address (if different from above):**

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, Town, State, Province or County: \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_ Country: \_\_\_\_\_

**\*Section 2. FATCA Declaration**

Please tick **either** (I) **or** (II) **or** (III) below **and** provide U.S TIN or exemption code as appropriate, and complete the appropriate sections below based on your FATCA classification.

**(I) Specified U.S Person -(also complete Sections 4 and 5 below)**

U.S Federal Taxpayer Identification Number (TIN) \_\_\_\_\_

**(II) U.S Person but not a Specified U.S Person -(also complete Sections 4 and 5 below)**

W9 Exemption Code: \_\_\_\_\_

**(III) Not a Specified U.S Person-(also complete Sections 3, 4 and 5 below)**

**\*Section 3: Entity’s FATCA Classification** (the information provided in this section is for FATCA, please note your FATCA classification may differ from your CRS classification in Section 5.

**Complete either Section 3.1 and 3.2 OR Section 3.3 OR Section 3.4**

**3.1 Financial Institutions (FIs) under FATCA:**

If the Entity is a Financial Institution, **tick one of the below categories** I, II, III and provide a GIIN at 3.2; if not, complete section 3.3 to explain type of Entity you are and why a GIIN cannot be provided.

<b>I.</b>	<i>Irish Financial Institution (FI) or other Partner Jurisdiction Financial Institution (FI)</i>	<input type="checkbox"/>
<b>II.</b>	<i>Registered Deemed Compliant Foreign Financial Institution</i>	<input type="checkbox"/>
<b>III.</b>	<i>Participating Foreign Financial Institution</i>	<input type="checkbox"/>

**3.2 Please provide the Entity’s FATCA Global Intermediary Identification number (GIIN)**

.       .   .

**3.3 If the Entity is a Financial Institution but unable to provide a GIIN, please explain by choosing one of the below reasons**

<b>I.</b>	<p>The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN. Provide the sponsor’s name and sponsor’s GIIN:</p> <p><b>Sponsor’s Name:</b></p> <p>_____</p> <p><b>Sponsor’s GIIN:</b></p> <p> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> <input type="text"/> </p> <p><b>Note:</b> <i>This option is only available to Sponsored Investment Entities in Model 1 IGA jurisdictions. Sponsored Investment Entities that do not have U.S reportable accounts are not required to register and obtain a GIIN with the IRS unless and until reportable accounts are identified</i></p>	<input type="checkbox"/>
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**\*Section 4: Common Reporting Standard (“CRS”) Declaration of Tax Residency**

**(Note that Entities may have more than one country of Tax Residence)**

**Please indicate the Entity’s country of tax residence for CRS purposes,** (if resident in more than one country please detail **all** countries of tax residence and **all** associated tax identification numbers (“TIN”).

Please refer to the OECD CRS Web Portal for AEOI for more information on Tax Residence and TIN’s.

<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759>

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

**NOTE:** Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

- a) You are tax resident in a Jurisdiction that does not issue a TIN
- Or
- b) You are tax resident only in a non-reportable Jurisdiction for CRS (i.e. Ireland or the USA)

Please list **ALL** Tax ID Numbers below

Country of Tax Residency	Tax ID Number (or TIN equivalent)	If TIN unavailable Select (A, B or C) and check box below

If a TIN is unavailable, please confirm the reason why below by ticking A, B, or C below.

Reason A	<input type="checkbox"/>	The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents.
Reason B	<input type="checkbox"/>	The Account Holder is otherwise unable to obtain a TIN <i>(Please explain why you are unable to obtain a TIN)</i>  _____
Reason C	<input type="checkbox"/>	No TIN is required. (Note: This should only be selected if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

Please tick this box to confirm you have specified **all jurisdictions** in which the Entity is resident for tax purposes.

**\*Section 5: Entity's CRS Classification**

The information provided in this section is for CRS. (Please note an Entity's CRS classification may differ from its FATCA classification in Section 3 above). In addition please note that the information that the Entity has to provide may differ depending on whether they are resident in a participating or non-participating CRS Jurisdiction. For more information on CRS to assist with classification, please see the OECD CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>

**5.1 Financial Institutions (FI's) under CRS:**

If the Entity is a *Financial Institution*, **Resident in either a Participating or Non-Participating CRS Jurisdiction** please review and tick one of the below categories that applies **and** specify the type of Financial Institution below.

**Note:** Please check the Irish Revenue AEOI portal at the time of completion of this form to confirm whether your country of Tax Jurisdiction is considered Participating or Non-Participating for the purposes of CRS Due-Diligence in Ireland.

<https://www.revenue.ie/en/companies-and-charities/documents/aeoi/participating-jurisdictions.pdf>

**CRS Financial Institution Type:**

<b>I.</b>	<b>A reporting Financial Institution resident in a <u>participating CRS Jurisdiction</u></b> <i>(including an Investment Entity, Depository FI, Custodial Institution, Specified Insurance company)</i>		
<b>II.</b>	<b>A Financial Institution resident in a <u>Non-Participating CRS Jurisdiction</u></b> <b>Please <u>also tick</u> the type of FI that applies</b>		
	<b>FI- Investment Entity resident in a Non-Participating Jurisdiction and <u>is managed by another Financial Institution</u></b> <i>(If this box is ticked, also <b>complete section 6 below</b> and provide separate individual self-certification forms from each of the Controlling Persons)</i>		<input type="checkbox"/>
	<b>FI- Investment Entity resident in a Non-Participating Jurisdiction but is <u>not managed by another Financial Institution/ Other Financial Institution</u></b> <i>(including a Depository Financial Institution, Custodial Institution or Specified Insurance Company <u>resident in a non-participating jurisdiction</u>).</i>		<input type="checkbox"/>
<b>III</b>	<b>Non-Reporting Financial Institution under CRS. (Specify the type of <u>non-reporting FI</u> below);</b>		
	Government Entity		International Organisation
	Central Bank		Broad Participating Retirement Fund
	Narrow Participation Retirement Fund		Pension Fund of a Government Entity/ International Organisation or Central Bank
	Exempt Collective Investment Vehicle		Trust <i>(Who's trustee reports all required information with respect to all CRS reportable accounts)</i>
	Qualified Credit Card Issuer		Qualified Non-Profit Entity
	Other Entity <i>(Defined under the domestic law as low risk of being used to evade tax).</i> Specify the type provided in domestic law:  _____		<input type="checkbox"/>

## 5.2 Non-Financial Entity ("NFE") under CRS:

If the Entity is a *not defined as a Financial Institution under CRS* then please tick one of the below categories confirming if you are an Active NFE or Passive NFE.

Active Non-Financial Entity (NFE)		(Choose the box that applies)
I.	<b>Active (NFE)-a corporation the stock of which is regularly traded on an established securities market</b> Please provide details of the established securities market on which the corporation is regularly traded _____	<input type="checkbox"/>
II.	<b>Active (NFE) -if you are a Related Entity of a regularly traded corporation.</b> Please provide the name of the regularly traded corporation of which the Entity is a Related Entity _____  And provide details of the securities market on which the corporation is regularly traded: _____	<input type="checkbox"/>
III.	<b>Active NFE-a Government Entity or Central Bank</b>	<input type="checkbox"/>
IV.	<b>Active NFE-an International Organisation</b>	<input type="checkbox"/>
V.	<b>Active NFE-Other than those listed in I,II,III, or IV above</b> <i>For example a start-up or certain types of non-profit NFE's.</i>	<input type="checkbox"/>

Or

### Passive Non-Financial Entity (NFE)

VI.	<b>Passive (NFE)</b> If this box is ticked please also complete <b>Section 6.1</b> for each of the Controlling Person(s) of the Entity and provide separate AEOI "Individual (including Controlling Persons) Self-Certification for CRS and FATCA form" as indicated in section 6.2 for each Controlling Person(s).	<input type="checkbox"/>
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## Section 6: Controlling Persons

**NB: Please note that each Controlling Person must complete a separate "Individual (including Controlling Persons) FATCA and CRS Self-Certification" form.**

**If there are no natural person(s) who exercise control of the Entity, then the Controlling Person for CRS purposes will be the natural person(s) who hold the position of senior managing official of the Entity.**

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/>

### 6.1 Controlling Person(s) of the Account Holder:

If you have ticked a Passive NFE with Controlling Persons in **either the FATCA or CRS Classification sections above or An Investment Entity** resident in a *Non-Participating Jurisdiction* and managed by another *Financial Institution* in the CRS section, then you must also complete this section for each of the Controlling Person(s) of the account holder and provide a separate "Individual (including Controlling Persons) FATCA and CRS Self-Certification" form for each Controlling person as per 6.2 below:

Indicate the name of all Controlling Person(s) of the Account Holder below:

I.	
II.	
III.	
IV.	

**Note: In case of a trust,**

Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiary(ies), **AND** any other natural person(s) exercising ultimate effective control over the trust.

With respect to an Entity that is a legal person, if there are no natural person(s) who exercise control over the Entity, then the Controlling Person for CRS purposes will be the natural person who holds the position of senior managing official of the Entity.

**6.2 Arrange for each of the Controlling Persons listed in Section 6.1 to complete a separate “Individual (including Controlling Persons) Self-Certification for FATCA and CRS” form for each Controlling Person listed in Section 6.1.**

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**Section 7: Declarations and Undertakings**

**I/We** declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

**I/We** confirm (where applicable) that an **“Individual (including Controlling Persons) Self-Certification for FATCA and CRS”** form has been completed, signed and provided for **each Controlling person**, as defined under the CRS and FATCA regulations.

**I/We acknowledge and consent** to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

**I/We** on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstance (for guidance refer to Irish Revenue or OECD website) occurs which causes any of the information contained in this form to be incorrect.

**\* Authorised Signature(s):**

\_\_\_\_\_

**\* Print Name(s):**

\_\_\_\_\_

**\* Capacity in which declaration is made:**

\_\_\_\_\_

**\* Date of signature: (dd/mm/yyyy):**

\_\_\_\_\_

# **AEOI FATCA and CRS Individual (including Controlling Persons)**

## **Self-Certification**

### **Instructions for completion and Data Protection Notice**

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this form you are providing personal information which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2018. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They may in turn exchange this information, and other financial information with foreign tax authorities, including tax authorities outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information and guidance on FATCA or CRS please refer to the Irish Revenue or OECD website at:

<https://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/index.aspx>

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/> in the case of CRS only.

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

**Please note that where there are joint account holders each account holder is required to complete a separate Self-Certification form.**

**Section 1, 2, 3 and 5 must be completed by all Account holders or Controlling Persons.**

**Section 4 should only be completed by any individual who is a Controlling Person of an entity account holder which is a Passive Non-Financial Entity, or a Controlling Person of an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.**

**(Mandatory fields are marked with an \*)**

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### **\*Section 1. Account holder /Controlling Person Identification**

**\*Account Holder / Controlling Person/ Name:** \_\_\_\_\_

**\*Current Residential Address:**

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, Town, State, Province or County: \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_ Country: \_\_\_\_\_

**Mailing address (if different from above):**

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, Town, State, Province or County: \_\_\_\_\_

Postal/ZIP Code: \_\_\_\_\_ Country: \_\_\_\_\_

**\*Place and Date Of Birth**

**\*Town or City of Birth:** \_\_\_\_\_ **\*Country of Birth:** \_\_\_\_\_

**\*Date of Birth:** \_\_\_\_\_

**\*Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes:**

Please tick either (a) or (b) and complete as appropriate.

(a)  I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

OR

(b)  I confirm that I am not a U.S. citizen or resident in the U.S. for tax purposes.

**\*Section 3: Common Reporting Standard (CRS) Declaration of Tax Residency/Residencies including Citizenship and Residency by Investment disclosure.**

Please indicate your country of tax residence (if resident in more than one country please detail All countries of tax residence and All associated tax identification numbers ("TINs")).

For further guidance on Tax Residence and TINs, please refer to the OECD CRS Information Portal <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759>

**NOTE:** Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

- a) You are tax resident in a Jurisdiction that does not issue a TIN, Or,
- b) You are tax resident only in a non-reportable Jurisdiction for CRS (i.e. Ireland or the USA)

Please list All Tax ID Numbers below

Country of Tax Residency	Tax ID Number (or TIN equivalent)	If TIN unavailable Select (A, B or C) and check box below

If a TIN is unavailable, please confirm the reason why below by ticking A, B, or C below.

Reason A	<input type="checkbox"/>	The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents.
Reason B	<input type="checkbox"/>	The Account Holder is otherwise unable to obtain a TIN ( <i>Please explain why you are unable to obtain a TIN</i> ) _____
Reason C	<input type="checkbox"/>	No TIN is required. (Note: This should only be selected if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

Please tick this box to confirm you have specified all jurisdictions in which you are resident for tax purposes.

### **3.1 Citizenship/Residency by Investment (CBI/RBI)**

Citizenship by Investment (CBI) and Residency by Investment (RBI) schemes are offered by a number of jurisdictions and allow foreign individuals to obtain citizenship or temporary/permanent residency rights based on local investments or against a flat fee. In this regard, the OECD have identified specific jurisdictions that operate CBI/RBI schemes which could potentially pose a high-risk to the integrity of CRS.

If, in this Section 3, you have confirmed that you are resident **only** in one or more of these specific jurisdictions (and not in any other jurisdiction), we are required to determine whether your citizenship/residency rights were obtained through a CBI/RBI scheme. If so, we must collect additional information.

For further details, including the list of jurisdictions and relevant CBI/RBI schemes, please refer to the OECD website: <https://www.oecd.org/en/topics/sub-issues/international-standards-on-tax-transparency/residence-citizenship-by-investment.html>

Please select **one** of the following options:

#### **Option 1:**

I confirm that I am either **not resident** or **not solely resident** in one or more of the jurisdictions designated by the OECD as operating a CBI/RBI scheme that may pose a high risk to CRS integrity.

***(If you select this box, no further information is required in this section – please proceed to Section 4, if applicable.)***

#### **Option 2:**

I confirm that I am **solely resident** in one or more of the jurisdictions designated by the OECD as operating a CBI/RBI scheme that may pose a high risk to CRS integrity but **I did not** receive my residence rights under a CBI/RBI scheme. ***(If you select this box, no further information is required in this section – please proceed to Section 4, if applicable.)***

#### **Option 3:**

I confirm that I am **solely resident** in one or more of the jurisdictions designated by the OECD as operating a CBI/RBI scheme that may pose a high risk to CRS integrity **and I solely obtained my residence rights** in these jurisdictions under one or more CBI/RBI schemes.

***(If you select this box, please complete Section 3.2)***

### **3.2 CBI/RBI additional queries where an Account holder or Controlling person has obtained citizenship or residency in an OECD CBI/RBI high risk jurisdiction.**

*(Additional questions to be completed **ONLY** where option 3 above has been ticked).*

**Do you hold residence rights in any other jurisdiction(s)?**

No

Yes  *(If yes, list the jurisdiction(s))* \_\_\_\_\_

**Have you spent more than 90 days in any other jurisdiction(s) during the previous year?**

No

Yes  *(If yes, list the jurisdiction(s))* \_\_\_\_\_

**In which jurisdiction(s) have you filed your personal income tax returns during the previous year?**

*(list the jurisdiction(s) below)*

\_\_\_\_\_

## Section 4 – Type of Controlling Person\*\*

**\*\* (ONLY to be completed by an individual who is a Controlling Person of an entity which is a Passive NFE or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)**

For Joint or multiple Controlling Person(s) please complete a separate *“Individual (Including Controlling Persons) Self-Certification for FATCA and CRS* form for **each** Controlling Person.

Controlling Person of a Legal Person	Please Tick all that apply	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		

Controlling Person of a Trust (Please select all that apply)	Please Tick all that apply	Entity Name
Controlling Person of a trust – settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		

Controlling Person of a Legal Arrangement (Non-Trust) Please select all that apply	Please Tick all that apply	Entity Name
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		

**\*Section 5: Declaration and Undertakings:**

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

**Data Protection - Customer Information Notice :**

The Common Reporting Standard (CRS), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange/>  
And on the Irish Revenue website - <https://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/index.aspx>

**\* Authorised Signature:** \_\_\_\_\_

**\* Print Name:** \_\_\_\_\_

**\* Date of signature: (dd/mm/yyyy):** \_\_\_\_\_

**\* Capacity (if Controlling Person):** \_\_\_\_\_

\_\_\_\_\_